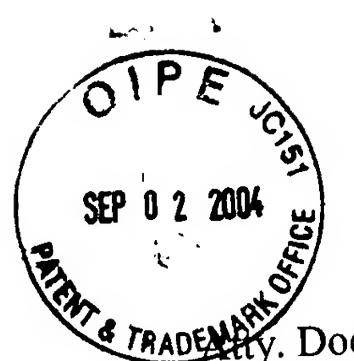
**PATENT** 



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

59033-297872 Docket No.:

In re Application of: - Siddhartha NAG et al.

Serial No.: 10/701,017

Filed: November 3, 2003

END-TO-END SERVICE QUALITY FOR For:

LATENCY-INTENSIVE INTERNET PROTOCOL (IP) APPLICATIONS IN A HETEROGENEOUS, MULTI-VENDOR

**ENVIRONMENT** 

Examiner: Not yet assigned.

Art Unit: 2143

Confirmation No.: 5830

CERTIFICATE OF TRANSMISSION

I HEREBY CERTIFY THAT this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage via first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

August 31, 2004.

FAEGRE & BENSON LLP

Michelle L. Wyss

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(B)(3) AND 1.98

Dear Sir:

Applicant hereby submits this Information Disclosure Statement which is being filed before the mailing date of a first Office Action on the merits.

Examiner is requested to consider the references noted on the enclosed Form PTO/SB/08a-b during examination of the above-identified patent application. These references are submitted for the Examiner's consideration and are submitted pursuant to the duty of disclosure under 37 C.F.R. § 1.56. In submitting these references, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality. In accordance with 37 C.F.R. § 1.98(a)(2)(i), copies of the references are provided herewith.

Atty. Docket No.: 59033-297872

Serial No.: 10/701,017

## INVITATION FOR A TELEPHONE INTERVIEW

If the Examiner has any questions concerning the relevance of any reference cited in this disclosure, the Examiner is respectfully requested to call the undersigned at (303) 607-3633 if there remains any issue with allowance of the case.

### CHARGE OUR DEPOSIT ACCOUNT

Pursuant to 37 C.F.R. § 1.97(b)(3), no fees are due with respect to this filing. However, should any fees be deemed necessary, such fees may be charged to Deposit Account No. <u>06-0029</u>.

Date: August 31, 2004

Michael A. DeSanctis, Esq. 3200 Wells Fargo Center

1700 Lincoln Street

Respectfully submitted,

FAEGRE & BENSON LLP

Denver, Colorado 80203

Atty. Reg. No.:

39,957 (303) 607-3500

Telephone: (303) 607-3600 Facsimile:

DNVR1:60276264.01

PTO/SB/08a (05-03)

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(use as many sheets as necessary)

of Sheet

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Application Number	10/701,017	
Filing Date	November 3, 2003	
First Named Inventor	Siddhartha Nag et al.	
Art Unit	2143	
Examiner Name	Not yet known.	
Attorney Docket Number	59033-297872	

Cite	Document Number	Publication Date	OCUMENTS  Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear
No.1	Number - Kind Code <sup>2</sup> (if known)		Chan et al	
1	US-5,831,975			
2	US-5,958,009			
	US-6,026,443			
	US-6,061,723			
	US-6,104,721	8/15/2000		
7		08-22-2000		
8		04-03-2001		
		02-07-2002		
		04-02-2002		
		04-25-2002		
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	1 2 3 4 5 6	Cite No.¹ Number - Kind Code² (if known)  1 US-5,831,975 2 US-5,958,009 3 US-6,026,443 4 US-6,054,987 5 US-6,061,723 6 US-6,104,721 7 US-6,108,310 8 US-6,212,562 9 US-2002/0015387 10 US-6,366,577 11 US-2002/0049860 12 US-6,418,139 13 US-6,493,348 14 US-6,515,966 15 US-6,606,668 16 US-6,639,981 17 US-6,640,248 18 US-6,647,208 19 US-6,678,729 20 US-6,714,987	Cite No.1         Number - Kind Code² (if known)         MM-DD-YYYY           1         US-5,831,975         11-03-1998           2         US-5,958,009         09-28-1999           3         US-6,026,443         02-15-2000           4         US-6,054,987         04-25-2000           5         US-6,061,723         05-09-2000           6         US-6,104,721         8/15/2000           7         US-6,108,310         08-22-2000           8         US-6,212,562         04-03-2001           9         US-2002/0015387         02-07-2002           10         US-6,366,577         04-02-2002           11         US-2002/0049860         04-25-2002           12         US-6,418,139         07-09-2002           13         US-6,493,348         12-10-2002           14         US-6,515,966         02-04-2003           15         US-6,606,668         08-12-2003           16         US-6,639,981         10-28-2003           17         US-6,640,248         10-28-2003           18         US-6,678,729         01-13-2004           19         US-6,714,987         03-30-2004           20         US-6,714,987         04-15-2004 </td <td>Cite No.¹         Document Number         Publication Date MM-DD-YYYY         Cited Document           1         US-5,831,975         11-03-1998         Chen et al.           2         US-5,958,009         09-28-1999         Friedrich et al.           3         US-6,026,443         02-15-2000         Oskouy et al.           4         US-6,054,987         04-25-2000         Richardson et al.           5         US-6,061,723         05-09-2000         Walker et al.           6         US-6,104,721         8/15/2000         Hsu et al.           7         US-6,108,310         08-22-2000         Wilkinson et al.           8         US-6,212,562         04-03-2001         Huang et al.           9         US-2002/0015387         02-07-2002         Donovan et al.           10         US-6,366,577         04-02-2002         Koistinen et al.           11         US-2002/0049860         04-25-2002         Koistinen et al.           12         US-6,418,139         07-09-2002         Akhtar et al.           13         US-6,515,966         02-04-2003         Bardalai et al.           14         US-6,606,668         08-12-2003         Dunn Jr. et al.           15         US-6,604,248         10-28-2003</td>	Cite No.¹         Document Number         Publication Date MM-DD-YYYY         Cited Document           1         US-5,831,975         11-03-1998         Chen et al.           2         US-5,958,009         09-28-1999         Friedrich et al.           3         US-6,026,443         02-15-2000         Oskouy et al.           4         US-6,054,987         04-25-2000         Richardson et al.           5         US-6,061,723         05-09-2000         Walker et al.           6         US-6,104,721         8/15/2000         Hsu et al.           7         US-6,108,310         08-22-2000         Wilkinson et al.           8         US-6,212,562         04-03-2001         Huang et al.           9         US-2002/0015387         02-07-2002         Donovan et al.           10         US-6,366,577         04-02-2002         Koistinen et al.           11         US-2002/0049860         04-25-2002         Koistinen et al.           12         US-6,418,139         07-09-2002         Akhtar et al.           13         US-6,515,966         02-04-2003         Bardalai et al.           14         US-6,606,668         08-12-2003         Dunn Jr. et al.           15         US-6,604,248         10-28-2003

		FOREIGN PA	TENT DOCUM		Pages, Columns, Lines,	,
	Cito	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited	Where Relevant Passages or Relevant	T <sup>6</sup>
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Document	Figures Appear	
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	22	EP1017200	12-21-2000	Telefonaktiebolaget		
, <u> </u>	23	WO 00/77988	12-21-2000	LM Ericsson		
			05-03-2001	Telefonaktiebolaget LM Ericsson		
	24	WO 01/31939		LIVI LITOGOTI		<b>.</b>

Examiner Signature	in cor	Date Considered	9 609. Draw line through citation if not in conformance

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08a (05-03)

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Substitute for form 1449A/PTO Complete if Known 10/701,017 **Application Number INFORMATION DISCLOSURE** November 3, 2003 Filing Date STATEMENT BY APPLICANT Siddhartha Nag et al. First Named Inventor 2143 **Art Unit** (use as many sheets as necessary) **Examiner Name** Not yet known. 59033-297872 Sheet of Attorney Docket Number

4

<u>.</u>		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner nitials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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Substitute for form 1449A/PTO . Complete if Known 10/701,017 **Application Number** INFORMATION DISCLOSURE November 3, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor Siddhartha Nag et al. Group Art Unit 2143 (use as many sheets as necessary) **Examiner Name** Not yet known. 4 59033-297872 Attorney Docket Number of Sheet

Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
·	25	EDER, M., et al., IP Service Management in the QoS Network, November 2001, printed from Internet at: http://search.ietf.org/internet-drafts/draft-irtf-smrg-ipsmf-01.txt (15 pages).	
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Substitute	e for form 1449A/F	PTO		Complete if Known				
				Application Number	10/701,017			
INFC	DRMATION DISCLOSURE	Filing Date	November 3, 2003					
STAT	TEMENT	BY A	PPLICANT	First Named Inventor	Siddhartha Nag et al.			
	IAILMLMIDIA			Art Unit	2143			
	(use as many :	sheets as	necessary)	Examiner Name	Not yet known.			
Sheet	4	of	4	Attorney Docket Number	59033-297872			

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2					
	64	hp OpenView, problem diagnosis 1.1 product brief, 2002, Hewlett-Packard Company (4 pages).						
· · · · · · · · · · · · · · · · · · ·	65	HP OpenView Performance Insight Pack for Infrastructure Usage, 2004, Hewlett-Packard Development Company, L.P. (6 pages).						
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Examiner Signature		Date Considered						

<sup>\*\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.